

PTO/SB/21 (08-00)  
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AF/2700

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |    |                        |                  |
|--|----|------------------------|------------------|
| Total Number of Pages in This Submission | 52 | Application No.        | 09/059,533       |
|  |    | Filing Date            | April 13, 1998   |
|  |    | First Named Inventor   | Jerrold V. Hauck |
|  |    | Group Art Unit         | 2133             |
|  |    | Examiner Name          | Joseph D. Torres |
|  |    | Attorney Docket Number | 42390P5379       |

### ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers<br>(for an Application)                      | <input type="checkbox"/> After Allowance Communication to Group   |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                                     |
| <input type="checkbox"/> Amendment / Response                                | <input type="checkbox"/> Licensing-related Papers                                       | <input checked="" type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)                |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert a Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):                                      |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | <div style="border: 1px solid black; padding: 5px;">Second Supplemental Appeal Brief;<br/>Return Receipt Postcard</div> |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   | <div style="border: 1px solid black; padding: 5px; text-align: right;"><i>RECEIVED</i></div>                            |
| <input type="checkbox"/> PTO/SB/08   | <input type="checkbox"/> CD, Number of CD(s)  | <div style="border: 1px solid black; padding: 5px; text-align: right;">MAR 19 2003</div>                                |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <div style="border: 1px solid black; padding: 5px;">Remarks</div>                       | <div style="border: 1px solid black; padding: 5px; text-align: right;">Technology Center 2100</div>                     |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |   |
| <input type="checkbox"/> Basic Filing Fee                                    |   |   |
| <input type="checkbox"/> Declaration/POA                                     |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Raul D. Martinez, Reg. No. 46,904<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature               |   |
| Date                    | March 13, 2003  |

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Box AF, Assistant Commissioner for Patents, Washington, D.C. 20231 on:

March 13, 2003

|                       |                      |      |                |
|-----------------------|----------------------|------|----------------|
| Typed or printed name | Lillian E. Rodriguez | Date | March 13, 2003 |
| Signature             |                      |      |                |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS; SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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MAR 18 2003  
P A T E N T

# FEES TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete If Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/059,533       |
| Filing Date          | April 13, 1998   |
| First Named Inventor | Jerrold V. Hauck |
| Examiner Name        | Joseph D. Torres |
| Group/Art Unit       | 2133             |
| Attorney Docket No.  | 42390P5379       |

## METHOD OF PAYMENT (check one)

Check     Credit card     Money Order     Other     None

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below     Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description        | Fee Paid           |
|--------------|--------------|----------|----------|------------------------|--------------------|
| 1001         | 2001         | 375      |          | Utility filing fee     |                    |
| 1002         | 2002         | 165      |          | Design filing fee      |                    |
| 1003         | 2003         | 260      |          | Plant filing fee       |                    |
| 1004         | 2004         | 375      |          | Reissue filing fee     |                    |
| 1005         | 2005         | 80       |          | Provisional filing fee |                    |
| SUBTOTAL (1) |              |          |          |                        | <u>(<u>\$</u>)</u> |

### 2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Extra Claims | Fee from below                                  | Fee Paid |
|--------------|--------------------|--------------|---|----------|
|              |                    | 20**         | = <u>      </u> X <u>      </u> = <u>      </u> |          |
|              |                    | 4            | = <u>      </u> X <u>      </u> = <u>      </u> |          |

Multiple Dependent

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description   | Fee Paid           |
|--------------|--------------|----------|----------|---|--------------------|
| 1202         | 18           | 2202     | 9        | Claims in excess of 20                                    |                    |
| 1201         | 84           | 2201     | 42       | Independent claims in excess of 3                         |                    |
| 1203         | 280          | 2203     | 140      | Multiple Dependent claim, if not paid                     |                    |
| 1204         | 84           | 2204     | 42       | **Reissue independent claims over original patent         |                    |
| 1205         | 18           | 2205     | 9        | **Reissue claims in excess of 20 and over original patent |                    |
| SUBTOTAL (2) |              |          |          |   | <u>(<u>\$</u>)</u> |

\*\*or number previously paid, if greater. For Reissues, see below

## 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description  | Fee Paid           |
|---------------------|--------------|--|--------------------|
| 1051                | 130          | 2051 65 Surcharge - late filing fee or oath  |                    |
| 1052                | 50           | 2052 25 Surcharge - late provisional filing fee or cover sheet                     |                    |
| 2053                | 130          | 2053 130 Non-English specification   |                    |
| 1812                | 2,520        | 1812 2,520 For filing a request for ex parte reexamination                         |                    |
| 1804                | 920 *        | 1804 920 * Requesting publication of SIR prior to Examiner action                  |                    |
| 1805                | 1,840 *      | 1805 1,840 * Requesting publication of SIR after Examiner action                   |                    |
| 1251                | 110          | 2251 55 Extension for reply within first month                                     |                    |
| 1252                | 410          | 2252 205 Extension for reply within second month                                   |                    |
| 1253                | 930          | 2253 465 Extension for reply within third month                                    |                    |
| 1254                | 1,450        | 2254 725 Extension for reply within fourth month                                   |                    |
| 1255                | 1,970        | 2255 985 Extension for reply within fifth month                                    |                    |
| 1404                | 320          | 2401 160 Notice of Appeal  |                    |
| 1402                | 320          | 2402 160 Filing a brief in support of an appeal                                    |                    |
| 1403                | 280          | 2403 140 Request for oral hearing  |                    |
| 1451                | 1,510        | 2451 1,510 Petition to institute a public use proceeding                           |                    |
| 1452                | 110          | 2452 55 Petition to revive - unavoidable   |                    |
| 1453                | 1,300        | 2453 650 Petition to revive - unintentional  |                    |
| 1501                | 1,300        | 2501 650 Utility issue fee (or reissue)  |                    |
| 1502                | 470          | 2502 235 Design issue fee  |                    |
| 1503                | 630          | 2503 315 Plant issue fee   |                    |
| 1460                | 130          | 2460 130 Petitions to the Commissioner   |                    |
| 1807                | 50           | 1807 50 Processing fee under 37 CFR 1.17(q)  |                    |
| 1806                | 180          | 1806 180 Submission of Information Disclosure Stmt                                 |                    |
| 8021                | 40           | 8021 40 Recording each patent assignment per property (times number of properties) |                    |
| 1809                | 750          | 1809 375 Filing a submission after final rejection (37 CFR § 1.129(a))             |                    |
| 1810                | 750          | 2810 375 For each additional invention to be examined (37 CFR § 1.129(b))          |                    |
| 1801                | 750          | 2801 375 Request for Continued Examination (RCE)                                   |                    |
| 1802                | 900          | 1802 900 Request for expedited examination of a design application                 |                    |
| Other fee (specify) |              |  | <u>(<u>\$</u>)</u> |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

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Technology Center 2100

Complete (if applicable)

|                   |                         |                                   |        |           |                |
|-------------------|-------------------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Raul D. Martinez        | Registration No. (Attorney/Agent) | 46,904 | Telephone | (310) 207-3800 |
| Signature         | <u>Raul D. Martinez</u> |                                   |        | Date      | 03/13/03       |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.